

US Army Corps of Engineers Construction Engineering Research Laboratories

AD-A274 398

USACERL Special Report FF-94/06 October 1993 QA Inspections Via Condition Monitoring

Guidelines for Quality Assurance Inspection of Commercial Activities Contracts for Real Property Maintenance Activities

Guide #6: Ventilation, Air Conditioning, and Refrigeration Systems

by James H. Johnson Paul C. Bresnahan

A Quality Assurance (QA) Program allows the Army to evaluate and document a contractor's work performance. It depends on a QA Surveillance Plan (QASP). The QASP, which is based on the contract Performance Work Statement, lists contractor activities and the surveillance approach, number of items to be inspected, and an Acceptable Quality Level (AQL) for each activity. This series of 12 guides will help the Contracting Officer's Representative/Quality Assurance Evaluator by defining and clarifying the inspection tasks required by the QASP, which will facilitate inspection uniformity and effectiveness.

This guide discusses QA monitoring of ventilation, air conditioning, and refrigeration systems operations and maintenance.



Approved for public release; distribution is unlimited.

94 1 03 091



The contents of this report are not to be used for advertising, publication, or promotional purposes. Citation of trade names does not constitute an official endorsement or approval of the use of such commercial products. The findings of this report are not to be construed as an official Department of the Army position, unless so designated by other authorized documents.

DO NOT RETURN IT TO THE ORIGINATOR

USER EVALUATION OF REPORT

REFERENCE: USACERL Special Report FF-94/06, Guidelines for Quality Assurance Inspection of Commercial Activities Contracts for Real Property Maintenance Activities, Guide #6: Ventilation, Air Conditioning, and Refrigeration Systems

Please take a few minutes to answer the questions below, tear out this sheet, and return it to USACERL. As user of this report, your customer comments will provide USACERL with information essential for improving future reports.

	ich report will be used.)
	How, specifically, is the report being used? (Information source, design data or procedure, nagement procedure, source of ideas, etc.)
3. sav	Has the information in this report led to any quantitative savings as far as manhours/contract dollars ed, operating costs avoided, efficiencies achieved, etc.? If so, please elaborate.
4.	What is your evaluation of this report in the following areas? a. Presentation:
	b. Completeness:
	c. Easy to Understand:
	d. Easy to Implement:
	e. Adequate Reference Material:
	f. Relates to Area of Interest:
	g. Did the report meet your expectations?
	h Does the report raise unanswered questions?

	what you think should be changed to make this report and future your needs, more usable, improve readability, etc.)
at any of he more respondito	. Jour mode, more availe, migrove reasoning, every
or discuss the topic, please fill in the	•
Name:	
Telephone Number:	
Organization Address:	
0.84	
6. Please mail the completed form to	
Department of	the Army

Department of the Army
CONSTRUCTION ENGINEERING RESEARCH LABORATORIES
ATTN: CECER-IMT
P.O. Box 9005
Champaign, IL 61826-9005

REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gethering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other espect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0186), Washington, OC 20503.

1. AGE	NCY USE ONLY (Leave Blank)	2. REPORT DATE October 1993	3. REPORT TYPE AND DATES COVE Final	RED					
Gu foi ing		5. FUNDING NUMBERS 4A162784 AT41 SB-A51							
Jai	mes H. Johnson and Paul C	. Bresnahan							
U. P.	FORMING ORGANIZATION NAME(S.S. Army Construction Engi O. Box 9005 nampaign, IL 61826-9005	8. PERFORMING ORGANIZATION REPORT NUMBER SR FF-94/06							
U. Al Bl	NSORINGMONITORING AGENCY N.S. Army Center for Public ITN: CECPW-FM-S dg 358 ort Belvoir, VA 22060-5516	·	10. SPONSORING/MONITORING AGENCY REPORT NUMBER						
Co	11. SUPPLEMENTARY NOTES Copies are available from the National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161.								
	STRIBUTION/AVAILABILITY STATEM pproved for public release; (126. DISTRIBUTION CODE					
A It Sta Ac Re QA	depends on a QA Surveillar atement, lists contractor action coeptable Quality Level (AC epresentative/Quality Assura ASP, which will facilitate in	nce Plan (QASP). The QASI ivities and the surveillance ap QL) for each activity. This so ance Evaluator by defining an aspection uniformity and effe	valuate and document a contra P, which is based on the contra opproach, number of items to be eries of 12 guides will help the ad clarifying the inspection tas ctiveness.	ract Performance Work the inspected, and an the Contracting Officer's the sks required by the					
	BJECT TERMS lality assurance			15. NUMBER OF PAGES 28					
rea	all property maintenance actinution, air conditioning, a			16. PRICE CODE					
OF	CURITY CLASSIFICATION REPORT nclassified	18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified	19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified	20. LIMITATION OF ABSTRACT SAR					

FOREWORD

This research was performed for the U.S. Center for Public Works (USACPW), under project 4A162784AT41, "Military Facilities Engineering Technology," Work Unit SB-A51, "QA Inspections Via Condition Monitoring." The technical monitors were Robert Hohenberg and George Cromwell, CECPW-FM-S.

The work was performed by the Facility Management Division (FF) of the Infrastructure Laboratory (FL), U.S. Army Construction Engineering Research Laboratories (USACERL). Alan W. Moore is Acting Chief, CECER-FF, and Dr. Michael J. O'Connor is Chief, CECER-FL. Special appreciation is expressed to Robert D. Neathammer, CECER-FF, and John H. Williamson, formerly of CECER-FF, for their contributions. The USACERL technical editor was Linda L. Wheatley, Information Management Office.

LTC David J. Rehbein is Commander of USACERL and Dr. L.R. Shaffer is Director.

CONTENTS

SF 298 FOREWORD 1 INTRODUCTION Background Objective Guide Series Organization 2 GENERAL QA INSPECTION INFORMATION Inspection Organization and Planning Quality Assurance Surveillance Decreased Surveillance Decreased Surveillance 3 VAC&R SYSTEM QA INSPECTIONS VAC&R Operations VAC&R Maintenance ACRONYMS 13 REFERENCES 13 APPENDIX A: Inspection Sampling Tables APPENDIX B: QAE Inspection Worksheets VAC&R Operations VAC&R Maintenance Service Order Questionnaire DISTRIBUTION Accession For NTIS CRA&I V DTIC TAB Uharmeduced Justification By Distribution / Availability Codes					Pag	e
Background Objective Guide Series Organization 2 GENERAL QA INSPECTION INFORMATION					· · · · · · · · · · · · · · · · · · ·	_
Inspection Organization and Planning Quality Assurance Surveillance Methods Increased Surveillance Decreased Surveillance 3 VAC&R SYSTEM QA INSPECTIONS 10 VAC&R Operations VAC&R Maintenance ACRONYMS 13 REFERENCES 13 APPENDIX A: Inspection Sampling Tables APPENDIX B: QAE Inspection Worksheets VAC&R Operations 16 VAC&R Maintenance 21 Service Order Questionnaire DITIC QUALITY INSPECTED 8 DISTRIBUTION Accession For NTIS CRA&I VIDITIC TAB Unamounced Justification By Distribution /	1	Background Objective	• • • • • • • • • • • • • • • • • • • •			5
VAC&R Operations VAC&R Maintenance ACRONYMS 13 REFERENCES 13 APPENDIX A: Inspection Sampling Tables APPENDIX B: QAE Inspection Worksheets VAC&R Operations VAC&R Maintenance Service Order Questionnaire DTIC QUALITY INSPECTED 5 DISTRIBUTION Accession For NTIS CRA&I VIDITIC TAB Unamounced Justification By Distribution I	2	Inspection Organization and Planning Quality Assurance Surveillance Method Increased Surveillance		•••••		7
REFERENCES APPENDIX A: Inspection Sampling Tables APPENDIX B: QAE Inspection Worksheets VAC&R Operations VAC&R Maintenance Service Order Questionnaire DTIC QUALITY INSPECTED 5 DISTRIBUTION Accession For NTIS CRA&I V DTIC TAB C: Unamounced J Justification By Distribution/	3	VAC&R Operations	• • • • • • • • • • • • • • • • • • • •	••••	10	D
APPENDIX A: Inspection Sampling Tables APPENDIX B: QAE Inspection Worksheets VAC&R Operations VAC&R Maintenance Service Order Questionnaire DTIC QUALITY INSPECTED 8 DISTRIBUTION Accession For NTIS CRA&I V DTIC TAB Unannounced Justification By Distribution /		ACRONYMS			13	3
APPENDIX B: QAE Inspection Worksheets VAC&R Operations VAC&R Maintenance Service Order Questionnaire DTIC QUALITY INSPECTED 8 DISTRIBUTION Accession For NTIS CRA&I DTIC TAB Unamounced Justification By Distribution		REFERENCES			13	3
VAC&R Maintenance Service Order Questionnaire DTIC QUALITY INSPECTED 8 DISTRIBUTION Accession For NTIS CRA&I VIDTIC TAB CIUNamounced Justification By Distribution /		APPENDIX B: QAE Inspection Worksheet			_	-
Accesion For NTIS CRA&I ' Y DTIC TAB C' Unannounced D Justification By Distribution /		VAC&R Maintenance	DTIC QUALITY	INSPEC	21	l
NTIS CRA&I ' V DTIC TAB C Unannounced Unantification By Distribution /		DISTRIBUTION				
Unannounced Justification By Distribution /				NTIS	CRA&I	
Distribution /						
Availability Codes					ution [4
- Avail and for						-
Dist Special					Special	

GUIDELINES FOR QUALITY ASSURANCE INSPECTION OF COMMERCIAL ACTIVITIES CONTRACTS FOR REAL PROPERTY MAINTENANCE ACTIVITIES GUIDE #6: VENTILATION, AIR CONDITIONING, AND REFRIGERATION SYSTEMS

1 INTRODUCTION

Background

A Quality Assurance (QA) program allows the Army to evaluate and document a contractor's performance. The Quality Assurance Evaluator (QAE) conducts skilled and carefully planned inspections aimed at verifying the satisfactory completion of contractor work. The inspections evaluate the quality, quantity, and timeliness of the services provided, not the contractor's methods used in performing the work. A good QA program promotes the best possible product within the terms of the standing contract.

A well organized QA program depends on a QA Surveillance Plan (QASP), which is prepared by the Government and contains the purpose and methods of the QA program. Although the QASP is not a part of the contract, it is based on the contract Performance Work Statement, which is part of the contract. The QASP lists contractor activities and the surveillance approach, approximate number of items to be surveyed, and an Acceptable Quality Level (AQL) for each activity.

The installation Director of Public Works (DPW), the Contracting Officer (KO), or the Contracting Officer's Representative (COR) often oversees the QASP. The COR/QAE needs an inspection guide to help define and clarify the inspection tasks required by the QASP, and to facilitate inspection uniformity and effectiveness. To meet this need, the U.S. Army Construction Engineering Research Laboratories (USACERL) developed this series of 12 inspection guides.

Objective

This guide series is intended to supplement any existing QASP and to provide QA guidance for evaluating Operations and Maintenance (O&M) work as performed by contractors on Army property. This ventilation, air conditioning, and refrigeration (VAC&R) systems guide contains recommended surveillance methods that can be amended by direction of the KO or QA management to fit the needs of a specific installation.

Guide Series Organization

This series includes the following guides by USACERL published in October 1993.

- #1: Water Systems (Special Report [SR] FF-94/01)
- #2: Wastewater Systems (SR FF-94/02)
- #3: Natural Gas Distribution Systems (SR FF-94/03)
- #4: Electrical Systems (SR FF-94/04)
- **#5:** Heating Systems (SR FF-94/05)
- #6: Ventilation, Air Conditioning, and Refrigeration Systems
- #7: Building Services (SR FF-94/07)
- #8: Grounds Maintenance (SR FF-94/08)

#9: Surfaced Areas (SR FF-94/09)

#10: Refuse and Recyclable Handling (SR FF-94/10)

#11: Pest Control Services (SR FF-94/11)

#12: Custodial Services (SR FF-94/12).

The QAE is expected to evaluate a contractor's performance by applying appropriate visual and instrumentation procedures along with necessary technical and interpretive skills. This guide covers QAE inspection of ventilation, air conditioning, and refrigeration systems, and is divided into sections that take the inspector through a step-by-step process of recommended performance indicators, inspection tasks, and surveillance methods.

VAC&R systems are divided into two subsystems in this guide:

- 1. VAC&R Operations
- 2. VAC&R Maintenance.

General QA information, including detailed explanations of the available surveillance methods, is given in Chapter 2.

Chapter 3 provides performance indicators, inspection tasks, and recommended surveillance approaches for each subsystem.

Appendix A contains sampling inspection tables. Appendix B contains QAE Worksheets for each subsystem and a service order questionnaire; they may be reproduced for field use.

2 GENERAL QA INSPECTION INFORMATION

Inspection Organization and Planning

According to custom and standard practice, the contractor submits copies of the previous month's O&M activities and regulatory agency reports to the COR and the QAE. The due dates of these reports control the start of inspection scheduling. If possible, the QAE's inspection should be conducted within 3 days after receiving the reports. Effective coordination will allow more efficient inspection of services. The COR/QAE should look for specific indicators of the contractor's performance and should evaluate that performance based on Detailed Inspection Tasks. The following chapter lists the Performance Indicators and Detailed Inspection Tasks for ventilation, air conditioning, and refrigeration systems.

Quality Assurance Surveillance Methods

The QAE can use the following five surveillance methods to determine contractor performance:

- 1. Random Sampling
- 2. Planned Sampling
- 3. 100 Percent Inspection
- 4. Unscheduled Inspection
- 5. Customer Complaints.

Random Sampling

The methods are based on statistical criteria provided in Military Standard (MIL-STD)-105E, Sampling Procedures and Tables for Inspection by Attributes (10 May 1989) and are presented as recommendations. The methods used should be based on the unique needs of an individual system. Generally, all five methods are not used to evaluate an individual system.

Random sampling is recommended for situations where many work items are candidates for inspection. For instance, because it is impractical to inspect every roof on an installation with 500 buildings, only a select number of the buildings should be inspected. Likewise, in random sampling, only a portion of the total performed work is inspected. Acceptance of the work is based on the assumption that the inspected items are representative of the quality of the contractor's work. The random sampling technique spreads the selected samples evenly throughout the evaluation period. The following are steps to be used by the QAE in random sampling.

Tables A1 and A2 in Appendix A should be used to determine the number of samples to be inspected and the number of rejects allowed as a function of the number of inspected work items for AQLs of 4 and 10 percent, and the level of surveillance. The three levels of surveillance are: normal, increased (tightened), and reduced. Initially, this guide recommends normal surveillance for random sampling. However, under the direction of the KO, the level of surveillance can be changed depending on the contractor's performance.

As an example, assume that the contractor's total scheduled output (i.e., population size) for a particular work item is 125 units and that the normal surveillance level with an AQL of 4 percent has been selected. According to Table A1, 20 of the 125 units of work should be inspected, and the entire output of 125 units should be rejected if 3 or more of the 20 sample units are not acceptable.

The QA Worksheets in Appendix B provide room to record the population size, the number of samples, the maximum number of rejects, and the interval for each Performance Indicator.

The work planned by the contractor for each maintenance task should be listed by date to make it easier to predict the time when the work samples will be ready for inspection.

Planned Sampling

Evaluation by planned sampling inspects some, but not all, of the work activities and is appropriate when the number of work items is large. Some items are evaluated before scheduled completion because they are inaccessible after the work is completed. The COR/QAE subjectively selects key work items for inspection; the sample size is determined arbitrarily.

The COR/QAE will normally use planned sampling when the contractor's performance at selected locations or tasks is poor. With this type of evaluation, the contractor knows that work performed in these areas is more likely to be monitored. Planned sampling provides a systematic way of focusing on specific output and forming conclusions about the contractor's performance level.

100 Percent Inspection

Inspection at 100 percent requires total inspection of all items in a contract requirement. It is normally used to monitor infrequent work or critical contract work when the number of work items is small and in cases where nonperformance could seriously damage Army-furnished equipment or processes. It may also be used in areas where a contractor has had prior performance difficulties.

Unscheduled Inspection

Unscheduled inspections can be used for areas of poor past contractor performance, noncritical areas, areas of infrequent repairs, or as a follow-up check of previous inspections. If the QAE notices such an area, an unscheduled inspection can be conducted to evaluate contractor performance.

Customer Complaints

The customer complaint method is based on an informed and cooperative customer population, that is generally aware of local contract requirements. Customers are expected to monitor contractor services and, when performance is poor or nonexistent, to notify the COR/QAE. If investigation reveals that the complaint is valid, the COR/QAE documents the deficiency. Since this is a reactive QA inspection approach, this method of surveillance nor ally supplements planned inspection methods.

Increased Surveillance

For areas of poor past contractor performance, the QAE should consult with the KO to intensify the surveillance method. More than one option is usually available, and selection should be based on the initial method and the amount of work performed.

- 1. Random Sampling (Normal Surveillance) can be replaced by:
 - Random Sampling (Increased Surveillance)
 - Planned Sampling (for a large population size)

- 100 Percent Inspection (for a small population size)
- Unscheduled Inspection (for any population size).
- 2. Planned Sampling can be replaced by:
 - Random Sampling (Normal Surveillance)
 - 100 Percent Inspection (for a small population size)
 - Unscheduled Inspection (for any population size).
- 3. Unscheduled Inspections can be replaced by:
 - 100 Percent Inspection (for a small population size)
 - Random Sampling (Normal Surveillance)
 - Planned Sampling.

Decreased Surveillance

For work areas in which the contractor maintains a consistently satisfactory performance for 3 to 6 months, the QAE should consult with the KO to decrease the intensity of the surveillance. More than one option is usually available and selection should be based on the initial method and the amount of work performed.

- 1. Random Sampling (Normal Surveillance) can be replaced by:
 - Random Sampling (Reduced Surveillance)
 - Planned Sampling
 - Unscheduled Inspection (for any population size)
 - Customer Complaints.
- 2. Planned Sampling can be replaced by:
 - Unscheduled Inspection (for any population size)
 - Customer Complaints.
- 3. 100 Percent Inspection can be replaced by:
 - Random Sampling (Normal Surveillance)
 - Random Sampling (Reduced Surveillance)
 - Planned Sampling
 - Unscheduled Inspection (for any population size)
 - Customer Complaints.

3 VAC&R SYSTEM QA INSPECTIONS

VAC&R Operations

Performance Indicators and Detailed Inspection Tasks

The following numeric items are performed by the contractor. The related detailed inspection tasks are used by the QAE to verify the contractor's performance.

1. All required operations documentation is complete, adequate, and timely.

Verify that the contractor's operations documentation is complete, adequate, and timely. Examine the daily log of operations monthly to identify possible operational deficiencies such as wide temperature shifts and questionable data. Document any deviations from the usual so that the KO can review them and ask the contractor for explanation or justification.

2. Computer rooms have proper air flow and are kept at 68 °F (± 2 °F)* and at a relative humidity equal to or less than 50 percent.

Verify that computer rooms have proper air flow and are kept at $68 \, ^{\circ}F$ ($\pm 2 \, ^{\circ}F$) and at a relative humidity equal to or less than 50 percent. If the space cannot be entered because of security restrictions, check the temperature and humidity by obtaining the information from the person responsible for each facility. Document any discrepancies.

3. Food and medical storage units are kept at their required temperatures.

Verify that food and medical storage units are kept at their required temperatures. QA instrumentation is recommended to check for the required temperatures (Johnson 1993). Document any discrepancies.

4. General-purpose rooms have proper air flow and are kept at ambient temperatures of approximately 78 °F in the summer and 68 °F in the winter.

Verify that general-purpose rooms have proper air flow and are kept at ambient temperatures of approximately 78 °F in the summer and 68 °F in the winter. QA instrumentation is recommended to check for the required temperatures and air flow (Johnson 1993). Document any discrepancies.

5. "As-built" drawings are updated with changes and corrections.

Verify that the contractor maintains current "as-built" drawings of VAC&R system facilities and equipment. Check to see that the drawings are updated annually with all changes and corrections. The draftperson's initials and the date should accompany each change.

6. An adequate library of equipment manufacturers' manuals is being maintained.

 $^{^{\}circ}$ F = ($^{\circ}$ C + 17.78) × 1.8.

Verify that the contractor maintains an adequate library of manufacturers' manuals for equipment and facilities. Manuals should be obtained for newly installed equipment and obsolete manuals should be discarded.

Recommended Surveillance Approach

- Evaluate performance indicators #1, #2, and #3 monthly using the 100 percent inspection method.
- Evaluate performance indicator #4 monthly using random sampling (normal surveillance, 10 percent AQL).
- Evaluate performance indicators #5 and #6 annually using the 100 percent inspection method.

VAC&R Maintenance

Performance Indicators and Detailed Inspection Tasks

The following numeric items are performed by the contractor. The related detailed inspection tasks are used by the QAE to verify the contractor's performance.

1. The Preventive Maintenance Inspection (PMI) reports are complete, legible, and timely.

Verify that the contractor's PMI reports for VAC&R systems are complete, legible, and timely. Document any discrepancies.

2. The Preventive Maintenance (PM) is adequately performed as scheduled for VAC&R systems.

Verify that the contractor's PM program is adequately performed for VAC&R systems. Document any discrepancies between the QAE inspection and the contractor's report of work done.

Evaluate the contractor's PM using the following indicators:

- a. Check to see that compressors, bearings, fans, blower motors, and pumps:
 - (1) Run smoothly and quietly without unusual grinding, scraping, or squealing noises. QA instrumentation is recommended to check for excessive vibration and bearing condition (Johnson 1993).
 - (2) Have sound mechanical linkages and operate freely. QA instrumentation is recommended to check mechanical linkages, including shaft alignment (Johnson 1993).
 - (3) Are adequately lubricated.
 - (4) Do not exhibit abnormally high operating temperatures. QA instrumentation is recommended to check for high operating temperatures (Johnson 1993).
 - (5) Exhibit no oil leaks. QA instrumentation is recommended to check for oil leaks (Johnson 1993).

- (6) Have normal operating oil levels.
- b. All systems are clean, including:
 - (1) Condensor coils, fan blowers, and screens are free of dirt, dust, and lint.
 - (2) Evaporator coils are free of lint, dust, and frost buildup.
 - (3) Inlet air openings, louvers, and screens are free of lint, dirt, leaves, and similar debris.
 - (4) Duct louvers, screens, and grills are free of dirt and debris.
 - (5) Filters are not dirty or clogged.
- c. Control and monitoring lights, gauges, and meters are operative.
- d. Belt tension and alignment are adjusted so that moderate pressure on the belt does not deflect the belt more than 1/4-in. (0.635 cm). Multiple belts have equal tension and run true in pulley sheaves. Belts show no excessive wear.
- e. There are no refrigerant leaks. QA instrumentation is recommended to check for refrigerant leaks (Johnson 1993).
- f. There are no air leaks in the VAC&R system. QA instrumentation is recommended to check for system air leaks (Johnson 1993).
- g. Replacement duct insulation is 1-in. (2.54-cm) thick and forms a continuous vapor barrier.
- 3. The contracted Service Order (SO) and Individual Job Order (IJO) work is done in a timely, effective, and professional manner.

Verify that the contracted SO and IJO work is done in a timely, effective, and workmanlike manner. The overall quality and appearance of the repair, including materials, must be comparable to the facility's original construction quality and appearance. Document any discrepancies between the QAE inspection and the contractor's report of work completed.

Visit the site of the selected repair to verify that the work is being performed with minimal service interruptions. After completion of the repair work, check to see that the construction area is clear of debris.

Recommended Surveillance Approach

- Evaluate performance indicator #1 monthly using the 100 percent inspection method.
- Evaluate performance indicator #2 monthly using random sampling (normal surveillance, 10 percent AQL).
- For performance indicator #3, evaluate SOs monthly using random sampling (normal surveillance, 4 percent AQL), and evaluate IJOs monthly using the 100 percent inspection method.

ACRONYMS

AQL Acceptable Quality Level

COR Contracting Officer's Representative

DEH Director of Engineering and Housing

KO Contracting Officer

MIL-STD Military Standard

O&M Operations and Maintenance

QA Quality Assurance

QAE Quality Assurance Evaluator

QASP QA Surveillance Plan

VAC&R ventilation, air conditioning, and refrigeration

REFERENCES

Johnson, James, Special Report FF-93/DRAFT, Catalog of Industrial Instrumentation for Army Real Property Quality Assurance Applications (U.S. Army Construction Engineering Research Laboratory, 1993).

Military Standard 105E, Sampling Procedures and Tables for Inspection by Attributes (Department of Defense, 10 May 1989).

APPENDIX A: Inspection Sampling Tables

Table A1

Sample Sizes and Reject Levels (4% AQL)
(As developed from Tables I & II in MIL STD 105E)

	Norm	al Survei	llance	Increased (Tightened) Surveillance			Reduced Surveillance		
Population Size	Class Sampi		Reject Level	Class Sampl		Reject Level	Class Samp	i I ole Size	Reject Level
08 to 50	•	25%	1	•	40%	1	•	•	•
51 to 90	E	13	2	F	20	2	•	3%	1
91 to 150	F	20	3	G	32	3	•	3%	1
151 to 280	G	32	4	Н	50	4	E	5	2
281 to 500	Н	50	6	J	80	6	F	8	3
501 to 1200	J	80	8	K	125	9	G	13	4
1201 to 3200	K	125	11	L	200	13	Н	20	5

The Reject Level is the number of failed inspections requiring rejection of the Lot (population).

An asterisk (*) indicates that the sample level is outside the range of a 4% AQL for the selected class.

Table A2

Sample Sizes and Reject Levels (10% AQL)
(As developed from Tables I & II in MIL STD 105E)

	Norm	nal Survei	llance		Increased lened) Sur	veillance	Redu	iced Surv	eillance
Population Size	Class II Sample Size		Reject Level	Class III Sample Size		Reject Level	Class I Sample Size		Reject Level
06 to 15	*	33%	1	•	50%	1	•	-	•
16 to 25	С	5	2	D	8	2	•	8%	1
26 to 50	D	8	3	E	13	3	С	2	2
51 to 90	E	13	4	F	20	4	C	2	2
91 to 150	F	20	6	G	32	6	D	3	3
151 to 280	G	32	8	H	50	9	E	5	4
281 to 500	Н	5 0	11	J	80	13	F	8	5
501 to 1200	J	80	15	K	125	19	G	13	6
1201 to 3200	K	125	22	L	200	19	Н	20	8

The Reject Level is the number of failed inspections that require rejection of the Lot (population). An asterisk (*) indicates that the sample level is outside the range of a 10% AQL for the selected class.

Table A3

Random Numbers

214282118116269923375244928715782662642952226578
682746943559519629664265925934643234981832837324
113214836272515186457667977477766257146527949733
646438673527891877262584143284652446718477495824
958871958891611871938161169369297597196552975619
394845666349694731619148988883729819488665958672
526844853727728784492368527677315213663117635427
573369358557899817477312829183236922446432824182
122517799265599373682753952791552412771336444166
126361596344898286991645992699759922644631365443
356397441783212229783166841732917572545251246467
148263169966626582815733949533372618817942341279
298633343416289287876495827318484211559611964578
5153776694334636344614633339467462653496126989133
594952128456343338789482478333417394928941464191
129361133443272698386941796538274612447868735439
791227349854735683598558951289697219832126313839
841714358314679452533262171142187678833836211945
793744285196655575157529279435535627468838487448
522137896226147218788942588731264236576921193277
698827794628779274939125785645466129542952962811
636388599713416832519746513989599315889971641942
861552485258382162996215336114117917199594578949
439977138991218329845581614882775678721118476789
458685927974833371469439543964991896913785162286
917827134757441264876989433239929455554432832466
441146423879821358744944219441229658947988514778
632575977461919394128438316499891135335244357848
214976778274353666177954163399662483274161778418
813376152357193985345994818788679276124911781197
914242893452721323279854821441816588353117394898
361425498167756976595894355857857796719613675154
513668884437772474885265581149535412628669979118

U U

N N

Perf	orma	ince Indica	tor #1:	All requ	ired opera	tions docu	mentation is	complete, ade	equate,	and timely.
	a.	All items	are liste	d, dated,	and initial	ed as com	pleted.			
		s.	U	N						
	b.	The check	dist is ti	mely.						
		S	U	N						
	c.	No possib	le opera	tional def	iciencies	are evident	•			
		S	U	N						
Rem	arks:									
Perf	orma	ince Indica	tor #2:	Compute	er rooms l	nave prope	r air flow and	are kept at	68 °F (±	2 °F) and
at a	relati	ve humidity	equal t	o or less	than 50 p	ercent.				
		LOCATIO	N							
					·			S	U	N
								S	U	N
								S	U	N
								S	U	N
					·			S	U	N
								S	U	N
						_		S	U	N
								S	U	N
								S	U	N
								S	U	N
•								S	U	N
								S	U	N
								S	U	N
								S	Ū	N
-								S	Ü	N
		· · · · · · · · · · · · · · · · · · ·		·				S	U	N

^{*}S = Satisfactory, U = Unsatisfactory, N = Not applicable. Circle one rating for each item.

VAC&R Operations Worksheet			Page 2 of 5
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
Performance Indicator #3: Food and medical storage units are kept at t	heir requi	red temp	eratures.
LOCATION			
	_	U	
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S		. N
	S	U	N
	S	U	N

VAC&R Operations Worksheet

Page 3 of 5

S	U	N	
S	U	N	
S	U	N	
 S	U	N	
S	U	N	
S	U	N	
S	U	N	
S	U	N	
S	Ū	N	
S	U	N	
S	U	N	
S	U	N	
S	U	N	
S	U	N	
S	U	N	
S	U	N	
S	U	N	
S	U	N	
S	U	N	
S	U	N	
	_		

Remarks:

Performance Indicator #4: General-purpose rooms have proper air flow and are kept at ambient temperatures of approximately 78 °F in the summer and 68 °F in the winter.

	number of camples and				and A2
gives	number of samples and	_number of allowable rejects.			
Ĺ	OCATION				
			S	U	N
			S	U	N
			S	U	N
			S	U	N
			S	U	N
			S	U	N
			S	U	N
			S	U	N
			S	. U	N
			S	U	N
			S	U	N
			S	Ū	N
			S	Ū	N
			S	U	N
			S	U	N
			S	U	N
		·····	S	U	N
			S	U	N
			S	Ü	N
			S	U	N
		<u> </u>	S	U	N
			S	U	N
			S	U	N
			S	U	N
			S	U	N
			s S	U	N
			s S	U	N
			s S	U	N N

Remarks:

Performa	ance Indic	ator #5:	"As-built" dra	awings are updated with changes and corrections.
a.	The draft	person's	initials accom	pany each change.
	S	U	N	
b.	The date	of chang	ge accompanies	s each correction.
	S	U	N	
Remarks:				
				library of equipment manufacturers' manuals is maintained.
a.				e been obtained.
	S	U	N	
b.			_	perly discarded.
	S	U	N	
Remarks:				
				Quality Assurance Evaluator

Date

Performance Indicator #1: The PMI inspection reports are complete, legible, and timely.

a. The reports are complete and legible.

S U N

b. The reports are timely.

S U N

Remarks:

Performance Indicator #2: The contractor's PM is adequately performed as scheduled for VAC&R systems.

- a. Compressors, bearings, fans, blower motors, and pumps are satisfactory.
- b. All systems are clean.
- c. Control and monitoring lights, gauges, and meters are operative.
- d. Belt tension and alignment is satisfactory.
- e. There are no refrigerant leaks.
- f. There are no air leaks in the VAC&R system.
- g. Replacement duct insulation is adequate.

Using the population size, and referring to normal su givesnumber of samples andnumber of allowable rejects. LOCATION		ables Al	land A2
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	9	TI	N

Remarks:

Performance Indicator #3: The contracted SO and IJO work was done in a timely, effective, and professional manner.

- a. The overall quality and appearance of the repair is comparable to that of the facility's original construction.
 - b. Work is performed with minimal interruptions.
 - c. The construction area is clear of debris.

_	_, and referring to normal surveillance in	Tables A	l and
ivesnumber of samples and	-		
LOCATION	SO/IJO		
	S	U	N
	s	U	N
	s	U	N
	s	U	N
	s	U	N
	S	U	N
	S	U	N
	s	U	N
	S	U	N
	S	U	N
	S	U	N
	S	U	N
	s	U	N
	s	Ū	N
emarks:			
	Quality Assurance Evaluator		

This survey should be completed with information from the person having the most contact with maintenance personnel. Please circle the letter of the answer selected or answer in the blanks, as appropriate.

1. R	esponse (in	days) to repa	ir requested wo	ork:
	a) Excell	ent response	(normal cond	litions - 7 days)
		•	(emergency c	conditions - 1 day)
	b) Adequ	iate response	(within 2 week	cs)
	c) Too lo	ong (Appro	oximately how	long? days.)
2. Q	uality of wo	ork: (Are you	satisfied that	quality work was performed?)
	Yes		No	Defect was not fixed
				Explain:
3. C	leanup of ar	ea after repai	r: (Is area left	as clean as it was before work personnel arrived?)
	Yes		No	
	Comment	s:		
4. E	fforts of wo	rk personnel:	(Are you satis	sfied that the work was performed in a professional,
effect	tive manner	?)		
	Comment	s:		
				······································
5. A	ttitude of w	ork personnel	: (Are they he	lpful, friendly, courteous, cheerful?)
	Comment	s:		
			·	
6. D	o you think	this type of r	epair could be	accomplished as "self help" if material and instructions
were	supplied?			
	Yes		No	Maybe

7. Remarks:	
	Thank you for your cooperation.
	Quality Assurance Evaluator
	Date Questionnaire Completed

USACERL DISTRIBUTION

Chief of Engineers

ATTN: CEHEC-IM-LH (2) ATTN: CEHEC-IM-LP (2)

ATTN: CERD-L

CECPW 22060

ATTN: CECPW-FM-S
ATTN: CECPW-FM
ATTN: CECPW-FB
ATTN: CECPW-FU
ATTN: CECPW-F-DPN

US Army Engr District ATTN: Library (40)

US Army Engr Division ATTN: Library (13)

INSCOM

ATTN: IALOG-I 22060 ATTN: IAV-DEH 22186

HQ XVIII Airborne Corps 28307

ATTN: AFZA-DEH-EE

US Army Materiel Command (AMC)

Alexandria, VA 22333-0001 ATTN: AMCEN-F

Installations:

ATTN: DEH (19)
Rocky Mountain Arsenal 8002

ATTN: AMCPM-RM
Pine Bluff Arsenal 71602
ATTN: SMCPB-EH

FORSCOM

Forts Gillem & McPherson 30330

ATTN: FCEN
Installations:
ATTN: DEH (23)

National Guard Bureau 20310
ATTN: Installations Div

Fort Belvoir 22060 ATTN: CECC-R 22060

TRADOC

Fort Monroe 23651 ATTN: ATBO-G Installations:

ATTN: DEH (20)

USARPAC 96858

ATTN: DEH ATTN: APEN-A

HQ USEUCOM 09128 ATIN: ECJ4-LIE

AMMRC 02172 ATTN: DRXMR-AF ATTN: DRXMR-WE

CEWES 39180 ATTN: Library

CECRL 03755 ATTN: Library

USA AMCOM

ATTN: Facilities Engr 21719 ATTN: AMSMC-IR 61299 ATTN: Facilities Engr (3) 85613

USAARMC 40121 ATTN: ATZIC-EHA

Military Traffic Mgmt Command ATTN: MTEA-GB-EHP 07002 ATTN: MT-LOF 20315 ATTN: MTE-SU-FE 28461 ATTN: MTW-IE 94626

Military Dist of WASH

Fort McNair

ATTN: ANEN 20319

Norton AFB 92409 ATTN: Library

Engr Societies Library
ATTN: Acquisitions 10017

Defense Nuclear Agency ATTN: NADS 20305

Defense Logistics Agency ATTN: DLA-WI 22304

US Military Academy 10996

ATTN: MAEN-A

ATTN: Facilities Engineer
ATTN: Geography & Envr Engrg

Naval Facilities Engr Command

ATTN: Facilities Engr Command (8)
ATTN: Division Offices (11)

ATTN: Public Works Center (8)
ATTN: Naval Constr Battalion Ctr

93043

ATTN: Naval Civil Engr Service

Center (3) 93043

Tyndall AFB 32403

ATTN: HQAFCESA Program Ofc

ATTN: Engrg & Srvc Lab

US Gov't Printing Office 20401 ATTN: Rec Sec/Deposit Sec (2)

Defense Tech Info Center 22304

ATTN: DTIC-FAB (2)

197 10/93